# Guiding the Way to XML Data Exchange Implementation

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## TL;DR

Get Ready:

NAACCR fixed-width format is going away in January 2020

• Get Informed:

https://naaccr.org/xml

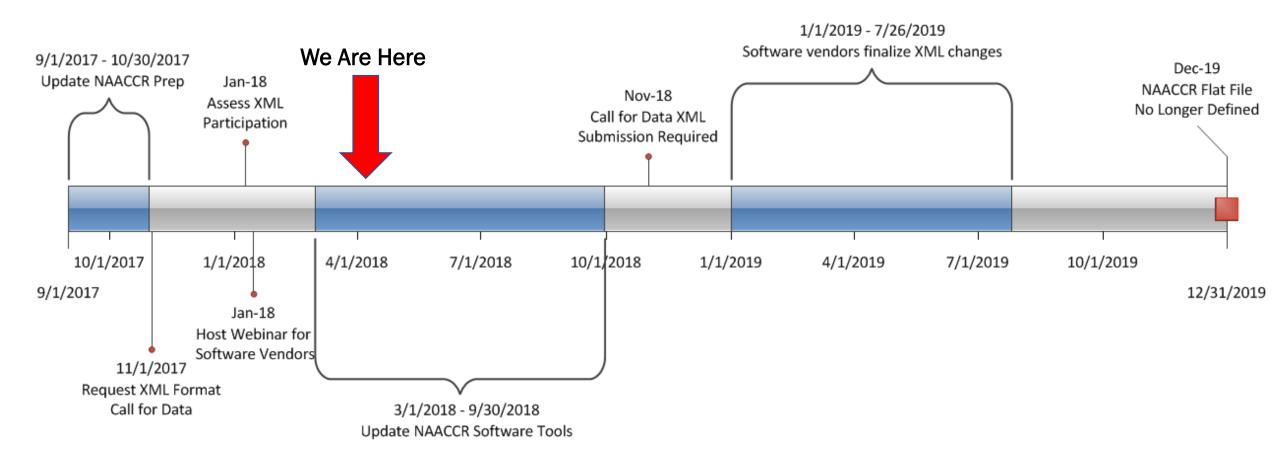
• Get Help:

https://www.naaccr.org/forums/forum/naaccr-xml-standard/

## **Overview**

- Timeline for XML Implementation
- Data Exchange Standard Background
- Path to XML
- Overview of XML Data Exchange Standard
- Challenges
- Getting Help and Learning More
- Q&A

## Timeline for XML Implementation



# XML Updates to Volume II

2020 changes will no longer define fixed-width format

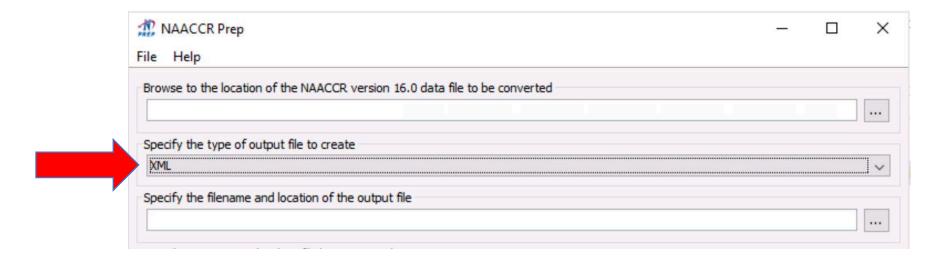
- Version 18 (2018 changes): Fixed-width to XML conversion possible
- Version 19 (2019 changes): Fixed-width to XML conversion possible
- Version 20 (2020 changes): XML only, no fixed-width conversion

| Column<br># | Length | Item<br># | Item Name             | XML NAACCR ID       | PARENT XML<br>ELEMENT | Section   |
|-------------|--------|-----------|-----------------------|---------------------|-----------------------|-----------|
| 1 - 1       | 1      | <u>10</u> | Record Type           | recordType          | NaaccrData            | Record ID |
| 2 - 2       | 1      | <u>30</u> | Registry Type         | registryType        | NaaccrData            | Record ID |
| 3 - 16      | 14     | <u>37</u> | Reserved 00           | reserved00          | Tumor                 | Record ID |
| 17 - 19     | 3      | <u>50</u> | NAACCR Record Version | naaccrRecordVersion | NaaccrData            | Record ID |

## **NAACCR Call for Data Submission**

XML is required for November 2018 Submission to NAACCR Call for Data

NAACCRPrep can be used to create the XML



• 26 / 69 (38%) central registries submitted XML in 2017 NAACCR CFD

## Data Exchange Standard Background

"...designed to facilitate electronic transmission of cancer registry data among registries..."

| Data Transmission Syntax | Fixed-Width  | XML                                    |  |
|--------------------------|--|--|--|
| Data Model               | Tumor  | Patient / Tumor / Custom<br>Extensions |  |
| Coding Standards         | AJCC Cancer Staging Manual Canadian Cancer Registry Data Dictionary Commission on Cancer (STOR, FORDS, ROADS) Collaborative Stage Data Collection System NAACCR Standards for Cancer Registries SEER Program Code Manual (NIH) WHO ICD-O Third Edition National Program of Cancer Registries (CDC) |  |  |

## Data Exchange Use Cases

- Provide standardized data from reporting sources to central registries
- Share data on residents of other states/provinces from one central registry to another
- Report data from diverse facilities or states/provinces contributing to a combined study

### **NAACCR Fixed-width Format**

## Developed since ~1988, 20 revisions

A18000000000000100000009SEVERNA PARKMD21146 999 9999999501 8801074...

| Incidence Record   | Confidential Record                                      | Full Case Abstract |
|--|--|--------------------|
| 4048 characters  | 6154 characters  | 24194 characters   |
| Demographic, Tumor and<br>Staging, Treatment, and<br>Follow-up | + Patient Identifiers and Physicians, Pathology Metadata | + Text             |

## Limitations of Fixed-Width Standard

- Lack of Extensibility
  - New items
  - Retired items
  - Custom items

Poor Readability

Empty Space is Wasted

## Path to XML

2005 – Cancer Abstract Transmission Workgroup (CAT) evaluates different syntaxes and standards for data exchange

2006 – CAT Workgroup proposes a pilot project to test utility of CDA as the new data exchange method for NAACCR

Guiding Principle: Semantic Interoperability with Medical Record

2007-2009 - CDA Pilot Project, Lantana Group Hired

# Lessons Learned from CDA Pilot Project

#### Difficult to Understand

Should be understandable & easy to communicate

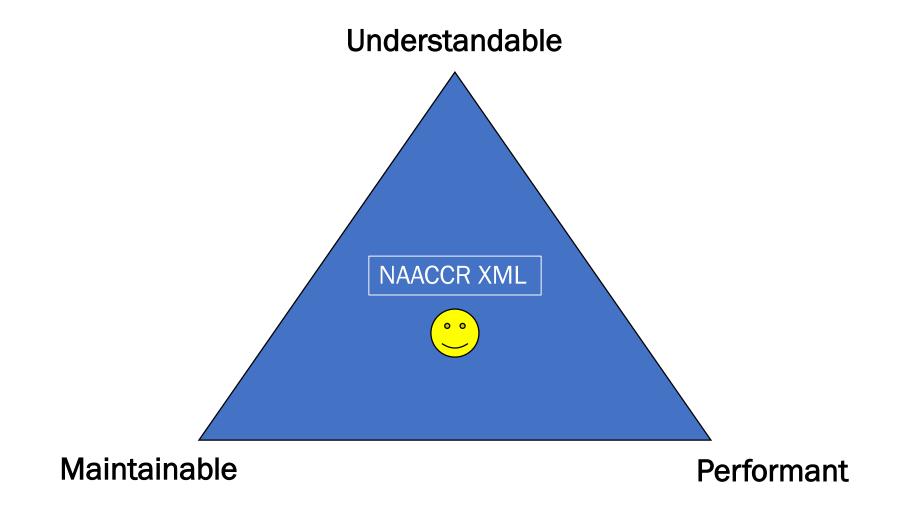
#### Difficult to Maintain

Should be maintainable by NAACCR community

#### Poor Performance

Size & speed should not suffer too much

# **NAACCR XML** Guiding Principles



## Path to XML Continued...

2010 to 2013 – investigate other HL7-family options (greenCDA, FHIR) Reset expectations, re-organize efforts after 8 years...

August 2014 – New task force formed to create a custom XML Data Exchange Standard, independent of HL7-family requirements

June 2015 - NAACCR XML draft standard released

September 2015 – NAACCR Board approves v1.0 of XML standard

# XML Work Since Approval

February 2016 - V1.0 Java software tools and libraries released

November 2016 - NAACCR XML Pilot Project CDC, C/NET, Onco Inc, Rocky Mountain Data Systems, CA, TX, UT Central Registries

June 2017 - V1.0 XMLExchange Plus software and libraries released

August 2017 - Current version of XML Standard released (v1.3)

Ongoing - NAACCR XML Workgroup Meets twice a month

# Overview of XML Data Exchange Standard

#### XML Elements:

- <NaaccrData>
- <Patient>
- <Tumor>
- <Item>

#### XML Attributes:

- naaccrld
- naaccrNum

```
<NaaccrData>
   <Item
        naaccrId="registryType"
        naaccrNum="30">2</Item>
   <Item ...
   <Patient>
      <Item
           naaccrId="nameLast"
           naaccrNum="2230">SMITH</Item>
      <Item ...
      <Tumor>
         <Item
               naaccrId="primarySite"
               naaccrNum="400">C180</Item>
         <Item ...
```

## **Data Model**

<NaaccrData> - Items that apply to all patients and tumors (ex. Record Type, Registry Type, Record Version)

<Patient> - Items that apply to all tumors for a patient (ex. Race, Social Security Number, Date of Birth)

<Tumor> - Items that apply to a particular tumor (ex. Primary Site, Diagnosis Date, Treatments)

# **Supporting Files**

#### **XSD**

 enforces structural features of the XML document such as, element names, required attributes, parent-child relationships

#### **Base Dictionary**

- defines valid data items for each level of the data model (Patient, Tumor)
- maintained and controlled by NAACCR

#### **User Dictionary**

- defines custom Data Items, use-case dependent
- maintained by anyone, shared between senders and receivers

# **Key Benefits of NAACCR XML**

Extensibility

Easy to maintain by NAACCR Community

Simplified transition from fixed-width format

Easy to create, consume, and process

# Software Supporting NAACCR XML

#### **Currently Supporting NAACCR XML**

- C/NET Solutions
- Oncolog (Onco, Inc.)
- XMLExchange Plus (NPCR)
- Rocky Mountain Data Systems
- SEER\*DMS, Submission Tool, Abstracting Tool, (IMS, Inc.)
- CPDMS (Kentucky Cancer Registry)

#### NAACCR XML Support Under Development

- METRIQ (Elekta Inc.)
- Web Plus (NPCR)
- SEER Data Viewer (IMS, Inc.)

## Other Software for NAACCR XML

- User-friendly interfaces for working with NAACCR XML
- Software libraries for reading, writing, validating NAACCR XML
- Convert from XML to Fixed-width and back, graphical dictionary editor
- Run EDITS on XML files (NPCR XMLExchange Plus)

#### IMS naaccr-xml GitHub project (Java)

https://github.com/imsweb/naaccr-xml

#### NPCR XMLExchangePlus (Windows)

https://www.cdc.gov/cancer/npcr/tools/registryplus/xml-exchange-plus.htm

## Challenges

• XML in SAS: Reading large, complete files and writing out XML

Tools for viewing, searching, and browsing large XML files

Modifying EDITS infrastructure to accommodate the Patient/Tumor data model

Managing User Dictionaries across registries (Custom Data Items)

## **Getting Help and Learning More**

Familiarize yourself with the standard:

https://www.naaccr.org/xml

Post on the forum:

https://www.naaccr.org/forums/forum/naaccr-xml-standard/

Try out Software Tools and Programming Libraries:

https://www.naaccr.org/xml#Software

## Q&A

Please type questions into the WebEx Q&A Panel

If your question is not answered, please post on the forum:

https://www.naaccr.org/forums/forum/naaccr-xml-standard

The forum is actively monitored by the NAACCR XML Workgroup and NAACCR Staff